

Are you a Director, Officer, Trading Representative or employee of a Stock Exchange Trading Member other than iFAST Financial Pte Ltd?

(If you have indicated "Yes", please note that you will be required to submit a written approval from the exchange Trading Member for every listed securities transaction.)

Yes (please tick the exchange) No

Stock Exchange

SGX HKEX NASDAQ NYSE

Annual Income S\$ 年收入

(please tick one)

Less than 30,000 30,000 - 60,000 60,001 - 100,000 100,001 - 150,000 150,001 - 200,000 200,001 - 300,000 Above 300,000

Source of Funds to be invested 资金来源 (please tick one)

Salary Investment Earnings Sale of Real Estate
 Gift/Inheritance Business Profits Savings

MAIN ACCOUNT HOLDER'S BENEFICIAL OWNER 主要账户持有人的受益人

Do you have any beneficial owner(s)?

Yes No

If your answer is "Yes", please complete the Anti-Money Laundering Declaration form.

A "beneficial owner", in relation to a customer of iFAST, means the natural person who ultimately owns or controls the customer or the natural person on whose behalf a transaction is conducted or business relations are established and includes any person who exercises ultimate effective control over a legal person or legal arrangement.

MAIN ACCOUNT HOLDER'S ADDITIONAL DUE DILIGENCE 主要账户持有人的额外尽职调查

Note: If your answer is "Yes" to ANY question, please complete the Enhanced Customer Due Diligence Questionnaire on pages 7-11.

1. Location

Are you / do you:

- 1) incorporated in the following countries / jurisdiction;
- 2) have registered address in the following countries / jurisdiction;
- 3) have a mailing address in the following countries / jurisdiction; or
- 4) born in / a citizen of the following countries / jurisdiction.

Yes No

Afghanistan, Angola, Anguilla, Bahamas, Botswana, Burundi, Cambodia, Chad, Colombia, Curacao, Democratic Republic of the Congo, Egypt, Equatorial Guinea, Eritrea, Ethiopia, Ghana, Guinea-Bissau, Iran, Iraq, Kazakhstan, Laos, Lebanon, Libya, Marshall Islands, Mozambique, North Korea, Pakistan, Serbia, Somalia, South Sudan, Sri Lanka, Sudan, Syria, Tajikistan, The Southern Philippines, The Sulu/Sulawesi Seas Littoral, The Trans-Sahara, Trinidad and Tobago, Tunisia, Turkey, Turkmenistan, Vanuatu, Venezuela, Yemen.

This list may be updated from time to time. The latest version of this list can be found at www.ifastfinancial.com.

2. Occupation / Nature of business

Are you involved in the business of / employed by the following activities / employers that are:

Money changers; remittance agents; pawnbrokers; internet-based stored value facility holders.

Yes No

This list may be updated from time to time. The latest version of this list can be found at www.ifastfinancial.com.

3. Politically Exposed Person ("PEP")

Are you a PEP / close associate of a PEP / family member of a PEP?

PEP means a domestic PEP, foreign PEP or international organisation PEP;

"Close associate" means a natural person who is closely connected to a PEP, either socially or professionally;

"Domestic PEP" means a natural person who is or has been entrusted domestically with prominent public functions;

"Family member" means a parent, step-parent, child, step-child, adopted child, spouse, sibling, step-sibling and adopted sibling of the PEP;

"Foreign PEP" means a natural person who is or has been entrusted with prominent public functions in a foreign country;

"International organisation" means an entity established by formal political agreements between member countries that have the status of international treaties, whose existence is recognized by law in member countries and which is not treated as a resident institutional unit of the country in which it is located;

"International organisation PEP" means a natural person who is or has been entrusted with prominent public functions in an international organisation;

"Prominent public functions" includes the roles held by a head of state, a head of government, government ministers, senior civil or public servants, senior judicial or military officials, senior executives of state owned corporations, senior political party officials, members of the legislature and senior management of international organisations.

Yes No

SECTION 3: JOINT ACCOUNT HOLDER'S DETAILS 联名账户持有人资料

Full Name of Joint Account Holder (if applicable) 联名账户持有人姓名

Dr Mr Ms Mrs Mdm

NRIC / Passport No. 身份证/护照号码

Country of Issue 签发国

Country of Birth 出生国

Gender Male 男

性别 Female 女

Date of Birth 出生日期

DD - MM - YYYY

Nationality 国籍

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Annual Income S\$ 年收入
(please tick one)

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Source of Funds to be invested 资金来源 (please tick one)

Salary Investment Earnings Sale of Real Estate
 Gift/Inheritance Business Profits Savings

JOINT ACCOUNT HOLDER'S BENEFICIAL OWNER 联名账户持有人的受益人

Do you have any beneficial owner(s)?

Yes No

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JOINT ACCOUNT HOLDER'S ADDITIONAL DUE DILIGENCE 联名账户持有人的额外尽职调查

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Yes No

Afghanistan, Angola, Anguilla, Bahamas, Botswana, Burundi, Cambodia, Chad, Colombia, Curacao, Democratic Republic of the Congo, Egypt, Equatorial Guinea, Eritrea, Ethiopia, Ghana, Guinea-Bissau, Iran, Iraq, Kazakhstan, Laos, Lebanon, Libya, Marshall Islands, Mozambique, North Korea, Pakistan, Serbia, Somalia, South Sudan, Sri Lanka, Sudan, Syria, Tajikistan, The Southern Philippines, The Sulu/Sulawesi Seas Littoral, The Trans-Sahara, Trinidad and Tobago, Tunisia, Turkey, Turkmenistan, Vanuatu, Venezuela, Yemen.

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"Prominent public functions" includes the roles held by a head of state, a head of government, government ministers, senior civil or public servants, senior judicial or military officials, senior executives of state owned corporations, senior political party officials, members of the legislature and senior management of international organisations.

Yes No

SECTION 4: ACCOUNT HOLDER(S) DECLARATION 账户持有人声明

I/We hereby declare and warrant that the information given in this form submitted to you are complete, true and accurate and that I/we have not wilfully withheld any material fact/information. I/We shall give iFAST Financial Pte Ltd ("iFAST") written notice of any change in my/our particulars. By disclosing my/our personal data, I/we consent to iFAST collecting, using and/or disclosing my/our personal data for the purposes of administering my/our Investment(s) and Investment Account(s). I/We also consent to iFAST transferring my/our personal data to iFAST related group of companies and/or third party service providers, suppliers, agents, distributors, fund managers, intermediaries or relevant stock exchanges for the above purposes. I/We declare that I/we am/are not undischarged bankrupt(s), have not committed any act of bankruptcy within the past 12 months and no bankruptcy order has been made against me/us or is/are pending against me/us during that period. I/We acknowledge that I/we am/are responsible for my/our own tax affairs and declare that I/we have not committed or been convicted of any tax crimes. I/We hereby consent the Representative and iFAST obtaining information immediately from me/us upon reasonable request for the purpose of Representative's and iFAST's compliance with the Notices and Guidelines on Prevention of Money Laundering and Countering the Financing of Terrorism. If any information is found false or misleading, iFAST may reject any of my/our application and/or instructions including but not limited to, any transactional-related activities. I/We understand that I/we can, at any time, request for advice from my/our financial adviser representative concerning a Specified Investment Product.

Where I/we am/are classified as a "Young Investor" in accordance with Practice Note 12.3.1, 12.3.4 of SGX-ST Rules (individuals above the age of 18 and below age of 21 years), I/we hereby confirm that the risks and uncertainties associated with trading or investing in securities have been properly explained to me/us and that I/we have an appropriate understanding of such risks.

ACCOUNT HOLDER SIGNATURES 账户持有人签署

MAIN Account Holder / Authorised Signature 1

JOINT Account Holder / Authorised Signature 2



Date	D	D	-	M	M	-	Y	Y	Y	Y



Date	D	D	-	M	M	-	Y	Y	Y	Y

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Created by/Date:	Approved by/Date:	Checked by/Date:
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Enhanced Customer Due Diligence Questionnaire

SECTION 1

Type Main (M) Joint (J)

Name of Customer (M): (J):

Identification Number (NRIC / Passport) (M): (J):

SECTION 2

PART A – CUSTOMER BACKGROUND CHECK

Please answer **all** the following questions.

<p>1. Location Are you / do you: 1) incorporated in the following countries / jurisdiction; 2) have registered address in the following countries / jurisdiction; 3) have a mailing address in the following countries / jurisdiction; or 4) born in / a citizen of the following countries / jurisdiction.</p> <p>Afghanistan, Angola, Anguilla, Bahamas, Botswana, Burundi, Cambodia, Chad, Colombia, Curacao, Democratic Republic of the Congo, Egypt, Equatorial Guinea, Eritrea, Ethiopia, Ghana, Guinea-Bissau, Iran, Iraq, Kazakhstan, Laos, Lebanon, Libya, Marshall Islands, Mozambique, North Korea, Pakistan, Serbia, Somalia, South Sudan, Sri Lanka, Sudan, Syria, Tajikistan, The Southern Philippines, The Sulu/Sulawesi Seas Littoral, The Trans-Sahara, Trinidad and Tobago, Tunisia, Turkey, Turkmenistan, Vanuatu, Venezuela, Yemen.</p> <p>This list may be updated from time to time. The latest version of this list can be found at www.ifastfinancial.com.</p>	<p>(M): <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(J): <input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>2. Occupation / Nature of business Are you involved in the business of / employed by the following activities / employers that are:</p> <p>Money changers; remittance agents; pawnbrokers; internet-based stored value facility holders.</p> <p>This list may be updated from time to time. The latest version of this list can be found at www.ifastfinancial.com.</p>	<p>(M): <input type="checkbox"/> Yes <input type="checkbox"/> No (Please Specify)</p> <p>Type Of Business: <input type="text"/> (Choose From List)</p> <p>Job Title: <input type="text"/></p> <p>(J): <input type="checkbox"/> Yes <input type="checkbox"/> No (Please Specify)</p> <p>Type Of Business: <input type="text"/> (Choose From List)</p> <p>Job Title: <input type="text"/></p>
<p>3. Politically Exposed Person (“PEP”) Are you a PEP / close associate of a PEP / family member of a PEP? PEP means a domestic PEP, foreign PEP or international organisation PEP; “Close associate” means a natural person who is closely connected to a PEP, either socially or professionally; “Domestic PEP” means a natural person who is or has been entrusted domestically with prominent public functions; “Family member” means a parent, step-parent, child, step-child, adopted child, spouse, sibling, step-sibling and adopted sibling of the PEP; “Foreign PEP” means a natural person who is or has been entrusted with prominent public functions in a foreign country; “International organisation” means an entity established by formal political agreements between member countries that have the status of international treaties, whose existence is recognized by law in member countries and which is not treated as a resident institutional unit of the country in which it is located; “International organisation PEP” means a natural person who is or has been entrusted with prominent public functions in an international organisation; “Prominent public functions” includes the roles held by a head of state, a head of government, government ministers, senior civil or public servants, senior judicial or military officials, senior executives of state owned corporations, senior political party officials, members of the legislature and senior management of international organisations.</p>	<p>(M): <input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>(J): <input type="checkbox"/> Yes <input type="checkbox"/> No</p>

For Customer
 If your answer to Part A.1 or A.2 is ‘Yes’, please complete Part B, D and E.
 If your answer to Part A.3 is ‘Yes’, please complete Part B, C, D and E.

For FA Representative
 Please sign in the “For FA Representative” section.

PART B – SOURCE OF FUND / SOURCE OF WEALTH**1. Please indicate your source of funds (Please tick one):**

Source of funds refers to the origin of the particular funds or other assets which are the subject of the establishment of business relations (e.g. the amounts being invested, deposited, or wired as part of the business relations).

(M): Business Profits Gift / Inheritance Savings
 Sale of Real Estate Salary Investment Earnings

(J): Business Profits Gift / Inheritance Savings
 Sale of Real Estate Salary Investment Earnings

2. Please indicate your source of wealth (Please tick one):

Source of wealth generally refers to the origin of the entire body of wealth (i.e. total assets).

(M): Business Profits Gift / Inheritance Savings
 Sale of Real Estate Salary Investment Earnings

(J): Business Profits Gift / Inheritance Savings
 Sale of Real Estate Salary Investment Earnings

Please answer the following questions to which you have indicated your source of funds and source of wealth above, and provide supporting documents.

1. Business Profits

What is the name of the company and the country of incorporation (including registration number if incorporated in Singapore)?

How long has it been since the business started / incorporation?

(M):

(J):

Please describe the nature of the business and its operation?

What capacity do you hold in the business that enabled you to receive profits?

(M):

(J):

2. Gift / Inheritance

Who did you inherit the wealth from? Who gave you the wealth?

What is the reason for such gift / inheritance? What is your relationship with the benefactor?

(M):

(J):

How was the wealth given to you generated? What type of wealth did you receive? (E.g. Land, Securities, Company, Trusts, etc.)

(M):

(J):

3. Sale of Real Estate

Who does the real estate legally belongs to?

(M):

(J):

Type of real estate sold? (E.g. private condominium, terrace house, HDB, etc.) When was it sold?

(M):

(J):

4. Salary

What is the name of your employer? In what industry is your employer in?

(M):

(J):

What is your profession, including area of speciality?

(M):

(J):

How many years have you been in this line of work? How many years have you been with your employer?

(M):

(J):

5. Savings

Please provide the name of your previous employer which contributed to the greatest share of your savings.

(M):

(J):

In which industry does the above-mentioned company belong to? What was your position held then?

(M):

(J):

How many years were you with the above-mentioned company?

(M):

(J):

6. Investment Earnings

How long has this investment been held for?

(M):

(J):

Are these investment earnings resulting from regular income? (E.g. fixed coupon payments / fixed dividends)

(M):

(J):

PART C – POLITICALLY EXPOSED PERSON

Please answer **all** the questions below.

1. Please indicate the name of your i) organisation / employer and ii) your occupation / position.

If you are not the PEP, please indicate i) the name of that immediate family member(s) or close associate (s) who is,

ii) the name of his/her/their organisation / employer and

iii) their occupation / position.

(M):

(J):

2. Is there any expected receipt of large sums of monies from governmental bodies or state owned entities? If yes, from who / where?

(M):

(J):

3. Will there be any usage of government account(s) as a source of funds in a transaction?

(M):

(J):

PART D - ADDITIONAL INFORMATION

Please indicate your expected amount for each transaction (please tick one):

- Less than 10,000
 10,000 – 50,000
 50,001 – 100,000
 Above 100,000

Please let us know if you have any other additional information that is relevant, but not covered above.

PART E - DECLARATION

I/We hereby declare and warrant that the information given in this form submitted to you are complete, true and accurate and that I/we have not wilfully withheld any material fact/information. I/We shall give iFAST Financial Pte Ltd ("iFAST") written notice of any change in my/our particulars. I/We acknowledge that I/we am/are responsible for my/own tax affairs. I/We have not committed or been convicted of any tax crimes. I/We also declare that I/we am/are hereby consent the Representative and iFAST to contact me/us to obtain further information immediately from me/us for the purpose of Representative's and iFAST's compliance with the Notices and Guidelines on Prevention of Money Laundering and Countering the Financing of Terrorism. If any information is found false or misleading, or if the need to obtain further information is not met, iFAST may reject any of my/our application and/or instructions including but not limited to, any transactional-related activities. I/We consent to iFAST collecting, using and disclosing the information provided in this form.

Customer (MAIN) / Authorised Signature 1

SIGN

Date

D	D	-	M	M	-	Y	Y	Y	Y
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Customer (JOINT) / Authorised Signature 2

SIGN

Date

D	D	-	M	M	-	Y	Y	Y	Y
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FOR FA REPRESENTATIVE

I declare that this document has been duly completed by my customer and the information provided in this form is strictly confidential and will only be used for the purpose of additional due diligence.

Name of FA Representative

FA Representative Signature

SIGN

Date

D	D	-	M	M	-	Y	Y	Y	Y
---	---	---	---	---	---	---	---	---	---

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To Be Completed By A Member Of The Compliance Team

Account Opening Date (DD/MM/YYYY): _____

1. Is there any finding from internal screening? If yes, what are the findings?

2. Any concerns if the Company establish or continue business relationship with the customer?

3. Describe the source of fund / wealth of the customer.

Are there inconsistencies between all available information and the source of fund / wealth?

4. Based on information from Part C or internal screening results, is the account holder/joint account holder considered a PEP?

Should the business relationship be established or continued? Yes No

Should the account be set to high-risk? Yes No

Reason(s) for setting the account to high-risk:

- Politically Exposed Person High-risk Jurisdiction
- High-risk Occupation / Nature of business
- Suspicious Transaction or Other Reason(s) *Please elaborate further below*

REVIEWED BY	NAME	SIGNATURE	DATE
COMPLIANCE			

Comments by Senior Management:

APPROVED BY	NAME	SIGNATURE	DATE
SENIOR MANAGEMENT			